Docket No.

293258US0PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bernd BRUCHMANN, et al.

SERIAL NO: New US PCT Application Based on PCT/EP05/01200

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

HIGHLY FUNCTIONAL, HIGHLY BRANCHED POLYUREAS

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### **REFERENCES**

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) New U.S. PCT Application Based on PCT/EP05/01200 Bernd BRUCHMANN, et al. Docket No. 293258 US

# STATEMENT OF RELEVANCY

- 1) References AJ-AL, & AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AM-AP, & AS-AW are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

# IAP6 Rec'd PCT/PTO 19 JUL 2006

99.1586650 SHEET 1 OF 1

Form PTO 1449  (Modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 293258US0PCT		SERIAL NO.  New US PCT Application  Based on PCT/EP05/01200			
LIST OF	REFE'	RENCES CITED BY API	PLICANT	APPLICANT Bernd BRUCHMANN, et al.				
				FILING DATE		GROUP		
				Herewith				
			1	U.S. PATENT DOCUMENTS		<u> </u>		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUB FILING DATE IF APPROPRIATE			
	AA	2002 0161113	10/31/2002	DVORNIE et al.				
	AB							
	AC							
	AD							
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	AC							
	АН							•
	Al							
		Υ	FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		SLATION NO
	AJ	1 770 413	10/21/1971	DE(with English abstract)				NO
	AK	0 179 273	4/30/1986	EP (equivalent of US 4 713 440)				NO
	AL	102 04 979	8/14/2003	DE (equivalent of US 2005 0222360)				NO
	АМ	98 52995	11/26/1998	wo				NO
	AN	1 026 185	8/9/2000	EP (equivalent of US 6 376 637)				NO
	АО	100 30 869	1/3/2002	DE (equivalent of US 6 632 914 and US 0114629)	5 2003			NO
	AP	98 50453	11/12/1998	WO (equivalent of GB 2 324 797)				NO
	AQ			<u></u>				
		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	t Pages, et	ic.)		
	AR	HARRIS et al., "SYNTI (1,6-HEXAMETHYLEN	HESIS AND CI	HARACTERIZATION OF UREA-BASED YOL DISPERSIONS", POLYMER, Vol. 3	POLYURE 6, No. 22,	AS: 1. URI pages 427	EA-TEF 5-4285,	RMINATED POLY , 1995
	AS	Becker/Braun, Kunststoff-Handbuch Volume 7, Polyurethane, Hanser-Verlag 1993. AS						
	KUMAR et al., "NOVEL HYPERBRANCHED POLYMER BASED ON UREA LINKAGES", CHEM. COMMUN., pages 1 1630, 1998.							N., pages 1629-
	AU	KUMAR et al., "DENDRITIC AND HYPERBRANCHED POLYMERS BASED ON UREA LINKAGES", POLYM. CHEM. Vol. 39, No. 2, pages 619-620, 1998  Additional References sheet(s)						
	AV	HYPERBRANCHED PO BASED ON UREA LINE	UTE FOR THE SYNTHESIS OF ND DENDRITIC BUILDING BLOCKS IRNAL OF POLYMER SCIENCE: PART pages 1295-1304, 2001					
	AW	RANNARD et al., "THE USE OF IN-SITU SELECTIVE ACTIVATION OF AB2 MONOMERS IN HYPERBRANCHED POLYMER SYNTHESIS-INITIAL RESULTS OF A DIVERSE CHEMISTRY", POLYMERIC MATERIALS: SCIENCE & ENGINEERING, Vol. 84, No. 2, 2001						
Examiner					Date Considered			
*Examiner: In	itial if r	eference is considered,	whether or not	citation is in conformance with MPEP 60	l )9; Draw lin	 ne through	citation	if not in
conformance	and no	t considered. Include co	py of this form	with next communication to applicant.				